

Short Report

Synthesis of *N*-Phtaloyl Amino Acid *p*-*tert*-butylcalix[4]arene Esters

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Ésteres de *N*-ftaloil amino ácidos e *p*-*tert*-butylcalix[4]areno foram sintetizados, e somente os produtos bis-subsituídos em conformação 1,3 alternada foram isolados. Os ésteres de aminoácidos quirais sofreram racemização completa ou parcial no meio reacional (Et₃N/CH₂Cl₂).

1-3-Diesters of *p*-*tert*-butylcalix[4]arene were obtained upon reaction with *N*-phtaloyl amino acid chlorides. Reactions with chiral amino acids were accompanied by complete or partial racemization in the reaction media (Et₃N/CH₂Cl₂).

Keywords: calixarenes, supramolecular chemistry, amino acid chlorides.

The chemistry of calixarenes has received increasing attention in the field of Supramolecular Chemistry, as this class of molecules offers a suitable platform for the synthesis of receptors for anions, cations and neutral molecules. Their structures allow acylation and alkylation reactions of the phenolic groups.

The conformation and degree of substitution of acylated products are related to the reagent used to promote the reaction; the substituent at *para* position of the calixarene and the acid chloride. For example, Gutsche reported tetrasubstitution in the reaction of *p*-*tert*-butylcalix[4]arene with *p*-substituted benzoyl chlorides, using AlCl₃ or NaH as catalyst. In this case the products had 1,3 alternate, partial cone and cone conformations^{4a} while when pyridine was used as catalyst, only trisubstituted calix[4]arene in partial cone was obtained⁶.

The complexation and transport of racemic mixtures with chiral receptors has been pointed out as a smooth method for enantiomeric resolution, because it requires low concentrations of the receptor, which can be recovered at the end of the process. Chiral receptors based on calix[4]arene structures are obtained by three approaches: reaction of calixarenes with chiral compounds⁷, use of four different phenols as building blocks for cyclization in the synthesis of calixarenes⁸, and from functionalization of achiral calixarenes on *meta*-positions of the aromatic rings, leading to an inherently chiral conformation⁹.

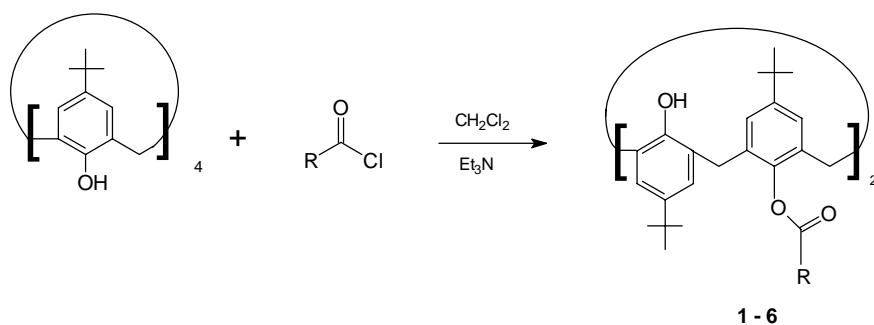
In this work, we report the synthesis of calixarene amino acid esters and their structures have been elucidated by ¹H NMR spectroscopy.

Results and Discussion

N-Phtaloyl amino acid calix[4]arene esters **1 - 6** were synthesized from *p*-*tert*-butylcalix[4]arene and the corresponding *N*-Pht amino acid chlorides in the presence of Et₃N, using a molar ratio of 10:10:1 of Et₃N: amino acid chloride: *p*-*tert*-butylcalix[4]arene, respectively. In the case of the glycine derivative, the reaction was also performed using AlCl₃. In this reaction, a molar ratio of 70:10:1 of AlCl₃: amino acid chloride: *p*-*tert*-butyl-calix[4]arene was used and only non-reacted calixarene was recovered when a molar ratio of 20:10:1 was used. This lack of efficiency in lower AlCl₃, amino acid chloride ratio is attributed to the Lewis acid characteristics of AlCl₃, that would be inactivated by interaction with the lone pairs of *N*-phtaloyl amino acid derivative.

Both methods yield bis substituted products on distal phenolic oxygens, and the product of tetra-substitution was not isolated, although a large excess of all reagents in relation to calixarene was used. The products adopt 1,3-alternate conformation, as two semi-calixes, an unusual pattern for bis-substituted calix[4]arenes, by the lack of intramolecular hydrogen bonds as additional stabilization forces. The yields of the reactions were 70- 80 %, and the pure products were obtained after recrystallization from chloroform-methanol, with exception of Gly derivative **1** which was recrystallized from chloroform.

In the IR spectra, the OH stretching (ν_{OH}) appears as a narrow band above 3500 cm⁻¹ for all amino acid ester



1, R= CH₂NPht; 2, R= CH(CH₂Ph)NPht; 3, R= CH(CH₂CH(CH₃)₂)NPht;
4, R= CH₂CH₂NPht; 5, R= CH(CH₂CH₂CH₂NPht)NPht; 6, R= CH(CH₃)NPht

Scheme 1: Synthesis of *p-tert*-butylcalix[4]arene-*N*-Pht-amino acid esters.

derivatives. The frequency depends on the amino acid residue, and is higher for bulkier residues (*N*-Pht-Phe **2**, *N*-Pht-Leu **3**; ν_{OH} 3550 cm⁻¹). This is consistent with a 1,3-alternate conformation, adopted by the calixarene, where the remaining phenolic hydrogens are placed at opposite sides in relation to the substituted oxygens. Consequently, intramolecular hydrogen bonds between O-H and substituted oxygens are not possible. In the case of bis-substituted cone conformers, whose four oxygen atoms are located in suitable positions for intramolecular hydrogen bonds, a broadening of the bands and a decreasing of the frequency (ν_{OH} 3200-3300 cm⁻¹) is observed^{7b}.

The ¹H NMR of the *N*-Pht-Gly derivative **1** shows two *tert*-butyl signals with equal integrals at δ 1.11 and 1.38, attributed to the *tert*-butyl hydrogens of the phenolic and the ester residues, and two doublets that correspond to methylenic hydrogens at δ 3.65 and 3.81; the difference of $\Delta\delta$ 0.16 between both signals is typical of a 1,3 alternate conformer. This $\Delta\delta$ value can be used to assign the structure, where higher values ($\Delta\delta \sim 1.0$) are observed for the cone conformer (less symmetric conformer) and lower values for 1,3 alternate conformer (more symmetric conformer).

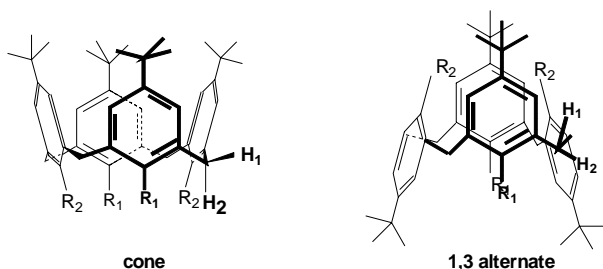


Figure 1: Cone and 1,3 alternate conformations of *p-tert*-butylcalix[4]arene derivatives

The ¹H NMR of the *N*-Pht- β -Ala-*p-tert*-butylcalix[4]arene **4** has the same ¹H NMR pattern, but the value of $\Delta\delta$ increases to 0.30. Both methylenic hydrogens are more

shielded than in the corresponding *N*-Pht-Gly derivative, with doublets centered at δ 3.40 and 3.70.

For chiral amino acid esters, the spectra are more complex for two reasons: diastereotopism of aromatic and methylenic hydrogens and racemization, with consequent formation of diastereoisomers. The diastereoisomeric excess was estimated by the difference in the integrals of the hydrogen signals for both diastereoisomers, and was found to be 72% for calixarene *N*-Pht-Phe **2**, 50% for *N*-Pht-Leu **3** and bis-*N*-Pht-Orn **5** derivatives and complete racemization for *N*-Pht-Ala ester **6**. The degree of racemization seems to be related to the volume of the side chain, that makes difficult the removal of the α -hydrogen by the base.

The ¹H NMR of **6** shows three *tert*-butyl signals and eight doublets, corresponding to the methylene signals. Surprisingly, the phenolic hydrogen signals are affected by the chiral centers, and an integration ratio of 1:2:1 was observed. For the (R, R)/(S, S) pair, both phenolic hydrogens are magnetically equivalent, but the (R, S)/(S, R) pair presents two signals corresponding to phenolic hydrogens, which indicates that intra- or intermolecular hydrogen exchange does not occur in NMR time scale.

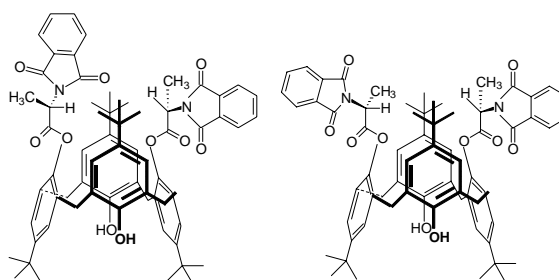


Figure 2: (a) (S,S)-diastereoisomer of **6**; (b) (R,S)-diastereoisomer of **6**

Noteworthy are the chemical shifts of *tert*-butyl protons. The δ values for *tert*-butyl hydrogens of substituted phenol rings, located on the same side of non-reacted OHs remain

almost unchanged in the amino acid series ($\delta \sim 1.35$), while for hydrogens of *tert*-butyl groups of unsubstituted rings, located in the same side of *N*-Pht amino acid, we observed a shift to higher field with the increase of the size of the side chain of the amino acid. The highest δ value corresponds to *N*-Pht-Gly derivative **1** (δ 1.11) and lowest for *N*-Pht-Leu **3** (δ 0.83). This indicates an increase in steric crowding between the side chain of the amino acid and the *tert*-butyl group of the unsubstituted phenolic unit. Consequently, there is a distortion of the structure that may also be detected by the difference in the values of NMR signals of the methylenic hydrogens ($\Delta\delta$). Here, the lowest δ value corresponds to *N*-Pht-Gly derivative and the highest corresponding to *N*-Pht-Phe derivative. This feature is attributed to differences in the chemical environment between these hydrogens for branched amino acids, related with the proximity with phenolic oxygens.

The analysis of these data allows to conclude that the geometrical parameters of the cavity can be controlled by the linked amino acid, and this result in a fine tuning of the opening of calixarene hollow.

In conclusion, bis-substituted calix[4]arenes amino acid esters with 1,3 alternate conformation were obtained, in contrast to the usual cone conformation of bis-substituted derivatives, which may be further functionalized by acylation or alkylation reactions of the remaining phenolic oxygens. As a sequence of this work, ditopic receptors will be synthesized by linkage of groups with metal complexational properties (*e. g.* crown ethers).

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- Experimental data: The NMR analyses were performed in a Bruker spectrometer (200 and 50.3 MHz for hydrogen and carbon, respectively) using TMS as internal reference standard. IR analyses were performed in a FTIR-Bomem spectrophotometer as KBr pellets. The standard synthetic procedure is described below: 0.5 mmol of freshly prepared the *N*-phtaloyl-aminoacid chloride, dissolved in 5 cm³ of CH₂Cl₂ was slowly added to a suspension of 0.5 mmol of *p-tert*-butylcalix[4]arene, 0.5 cm³ of Et₃N and 5 cm³ of CH₂Cl₂ at 0°C during 15 min. The suspension was kept at 0°C for 30 minutes and 24h at room temperature. The solvent was removed under vacuum and methanol added to the reaction mixture, which was then filtered. The *N*-phtaloyl-aminoacid-*p-tert*-butylcalix[4]arene derivatives were re-crystallized from CHCl₃/ MeOH or CHCl₃. The values of diastereoisomeric excess were calculated using the values of integrals for the OH signals of each diastereoisomer in the ¹H NMR spectra.

bis-*N*-Pht-Gly-*p-tert*-butylcalix[4]arene (1): Yield: 0,461 g (89 %); mp 320°C (dec.); IR ν_{\max} /cm⁻¹ 3504 (O-H, sharp), 2954 (C-H), 1765 (C=O), 1725 (C=O), 1180 (CH₂); ¹H NMR (200 MHz, CDCl₃) δ 1.11

(s, 18 H, *t*-Bu); 1.37 (s, 18H, *t*-Bu); 3.65 (d, *J* 14.8 Hz, 4H, Ar-CH-Ar); 3.81 (d, *J* 14.8 Hz, 4H, Ar-CH-Ar); 4.37 (s, 4H, COCH₂); 4.55 (s, 2H, OH), 7.06 (s, 8H, CH Ar); 7.28 (s, 8H, CHAr); ¹³C NMR (50.3 MHz, CDCl₃) δ 31.14, 31.81 (C(CH₃)₃); 34.12 (C(CH₃)₃); 35.38 (Ar-CH₂); 38.63 (CH₂ Gly); 123.72; 125.88; 126.74; 127.30; 132.08; 132.38; 134.33; 142.61; 149.37; 150.69 (C Ar); 165.60, 167.46 (C=O); Elemental analysis- Found: C, 74.60; H, 6.62; N, 2.80. Calc. for C₆₄H₆₆N₂O₁₀ + 1/8 CHCl₃: C, 74.20; H, 6.63; N, 2.63.

bis-*N*-Pht-Phe-*p*-*terc*-butylcalix[4]arene (2): Yield: 0,440 g (72 %); mp 320 °C (dec.). IR ν_{max}/cm⁻¹ 3558, 2954, 1760, 1715, 1155. ¹H NMR (200 MHz, CDCl₃): δ 0.89 (s, 18H, CH₃ *t*-Bu); 1.38 (s, 18H, CH₃ *t*-Bu); 3.33 (d, 2H, *J* 13.3, Ar-CH₂-Ar.); 3.49 (d, 2H, *J* 14.3, Ar-CH₂-Ar.); 3.83 (m, 4H, CH₂ Phe); 4.01 (d, *J* 13.3 Hz, 2H, ArCH₂Ar.); 4.24 (d, *J* 14.3 Hz, 2H, ArCH₂Ar.); 5.08, 5.30 (s, 2H, OH); 5.46 (q, *J* 3.6 Hz, 2H, CH Phe); 6.70 (d, *J* 2Hz, 2H, HAr); 6.72 (d, 2H, *J* 2, HAr); 6.90 (s, 10H, HAr-Phe); 7.17 (d, *J* 2Hz, 2H, HAr); 7.20 (d, *J* 2 Hz, 2H, HAr.); 7.60- 7.73 (8H, HAr-Pht, m). Diastereoisomeric excess: 72% ; Elemental analysis- Found: C, 77.38; H, 6.57; N, 2.30. Calc. for C₇₈H₇₈N₂O₁₀ + 1/8 CHCl₃: C, 77.02; H, 6.46; N, 2.30.

bis-*N*-Pht-Leu-*p*-*terc*-butylcalix[4]arene (3): Yield: 0,400 g (70 %) mp > 320 °C. IR ν_{max}/cm⁻¹ 3560, 2955, 1764, 1721, 1390. ¹H NMR (200 MHz, CDCl₃): δ 0.82, 0.83 (s, 18 H, *t*-Bu); 1.08 (m, 12H, CH₃); 1.29, 1.35, 1.36 (s, 18H, *t*-Bu); 2.45, 2.75 (m, 2H, CH₂); 3.15-3.50 (m, ArCH₂Ar, 4H) 3.65-4.15 (m, ArCH₂Ar, 4H); 4.98, 5.0 (s, 2H, OH); 5.48, 5.55 (dd, 2H, *J* 14.0 and 4.0 Hz CH Leu); 6.58 (s, 4H, CH Ar.); 7.11 (d, *J* 2Hz 4H, CH Ar); 7.73 (m, 4H, CHAr-Pht); 7.89 (m, 4H, CHAr-Pht); diastereoisomeric excess: 75%. Elemental analysis- Found: C, 75.31; H, 7.20; N, 2.47. Calc. for C₇₂H₈₂N₂O₁₀ + 1/8 CHCl₃: C, 75.22, H 7.45, N 2.41.

bis-*N*-Pht-β-Ala-*p*-*terc*-butylcalix[4]arene (4): Yield: 0,420 g (78%) mp 256 °C. IR ν_{max}/cm⁻¹ 3553, 2954, 1760, 1715, 1155; ¹H NMR (200 MHz, CDCl₃): δ 0.93 (s, 18 H, *t*-Bu); 1.30 (s, 18H, *t*-Bu); 3.19 (t, *J* 4.5 Hz, 4H, CH₂-N); 3.40 (d, *J* 14.0 Hz, 4H, Ar-CH₂-Ar); 3.70 (d, *J* 14.0 Hz, 4H, Ar-CH₂-Ar); 4.22 (s, 4H, COCH₂); 5.10 (s, 2H, OH); 7.04 (s, 8H, CHAr); 7.62 (m, 4H, CHAr-Pht); 7.75 (m, 4H, CHAr-Pht); Elemental analysis- Found: C, 73.55; H, 6.50; N, 3.00. Calc. for C₆₆H₇₀N₂O₁₀ + 1/4 CHCl₃: C, 73.60; H 6.55; N, 2.60.

bis-*N*-Pht-Orn-*p*-*terc*-butylcalix[4]arene (5): Yield: 0,490 g (70%) mp > 320 °C. IR ν_{max}/cm⁻¹ 3560, 2957, 1765, 1716, 1388, ¹H NMR (200 MHz, CDCl₃): δ 0.79 and 0.80* (s, 18 H, *t*-Bu); 1.29, 1.37 (s, *t*-Bu); 1.9-2.1 (m, 4H, CH₂β); 2.58 (q, *J* 7.5 Hz, 4H, CH₂α); 3.2-3.4 (m, 4H, ArCH₂Ar); 3.7-4.0 (m, 4H, ArCH₂Ar); 3.8 - 4.1 (m, 4H, CH₂γ); 4.64, 4.73, 4.97 (s, 2H, OH); 5.68 (m, CH, 2H); 6.50, 6.55, 6.62 (d, *J* 2 Hz, CHAr, 2H); 6.90, 7.07, 7.10 (d, *J* 2 Hz, CHAr, 2H); 7.47, 7.53 (d, *J* 2 Hz, CHAr, 2H); 7.68- 7.77 (m, 4 H, CHAr-Pht); 7.85-7.95 (m, 4 H, CH-Pht); diastereoisomeric excess: 50%. Elemental analysis- Found: C, 73.01; H, 6.05 ; N, 3.94. Calc. for C₉₀H₈₈N₄O₁₀ + 1/8 CHCl₃: C, 72.96; H, 6.09; N, 3.78.

bis-*N*-Pht-Ala-*p*-*terc*-butylcalix[4]arene (6): Yield: 0,400 g (75 %) mp > 350 °C (dec.); IR ν_{max}/cm⁻¹ 3509 (O-H, sharp), 2954 (C-H), 1760, 1725 ; ¹H NMR (200 MHz, CDCl₃): δ 0.97 (s, 18H, *t*-Bu); 1.35, 1.34, 1.44 (s, 18 H, *t*-Bu); 1.81, 1.85 (d, *J* 2.7 Hz, 6H, CH₃); 3.42-3.60 (m, 4H, Ar-CH-Ar); 3.75- 4.12 (m, 4H, Ar-CH-Ar); 4.52, 4.87*, 5.09 (s, 2H, OH); 6.83-6.92 (d, *J* 2Hz, CHAr, 4H); 7.12-7.22 (d, *J* 2 Hz, CHAr, 4H); 7.70-7.74 (m, 4H, CH-Pht); 7.85-7.89(m, 4H, CHAr-Pht). Elemental analysis- Found: C, 74.74; H, 6.80; N, 2.63. Calc. for C₆₆H₇₀O₁₀N₂ + 1/8 CHCl₃: C, 74.49; H, 6.63; N, 2.63. *Signals are listed without assignment to a specific diastereoisomeric pair.

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